

Anh Tran

CONTACT	McCoy College of Business Texas State University 601 University Drive San Marcos, TX 78666	Email : anh.tran@txstate.edu Homepage : www.anh-tran.com
ACADEMIC EMPLOYMENT	Assistant Professor of Finance, Texas State University Visiting Assistant Professor of Finance, Bucknell University Assistant Professor of Finance, University of Connecticut	2026-present 2025-2026 2018-2025
EDUCATION	Ph.D. in Finance (minor in Statistics), University of Rochester M.S. in Applied Economics, University of Rochester M.S. in Finance, University of Utah B.E. in Automotive Engineering, Ho Chi Minh City University of Technology	2018 2015 2011 2006
CERTIFICATION	Passed Level II, CFA Institute	
INTERESTS	Asset Pricing, Mutual Funds, Securities Lending, Corporate Governance.	
PUBLICATIONS	1. "Barking Up The Wrong Tree: Return Chasing in 401(k) plans," (with Pingle Wang). - Journal of Financial Economics , 2023, 148 (1), 69–90. 2. "The Role of Financial Markets in the Unraveling of Credit," (with Elena Asparouhova and Peter Bossaerts). - Accepted, Journal of Financial Economics .	
WORKING PAPERS	1. "Unveiling Mutual Funds' Securities Lending," (with Shuaiyu Chen and Pingle Wang). 2. "Lending at a Cost: Liquidity Fragility in Bond Mutual Funds," (with Shuaiyu Chen and Pingle Wang). 3. "Mutual Fund Governance: Do Long-term Relationships with Managers Blind Directors?" - R&R at Financial Review . 4. "SEC Monitoring and Investigations: Signaling from Short-selling," (with Silver Chung).	
TEACHING EXPERIENCE	Texas State University: - Investment Analysis - Financial Management Bucknell University: - Financial Statement Analysis & Valuation University of Connecticut: - Principles of Investments and Derivatives - Security Valuation and Portfolio Management - Investment and Security Analysis (<i>MBA</i>) University of Rochester: - Mathematical Techniques in Economics (<i>PhD</i>)	2026 2026 2025-2026 2018-2025 2019-2025 2020 2015

PRESENTATIONS	2026	American Finance Association Annual Meeting (AFA), Midwest Finance Association Annual Meeting (MFA)	
	2025	Texas State University, East Carolina University, Lone Star Finance Conference, University of Alberta, Calgary University, Utrecht University, Radboud University, Free University of Amsterdam, Midwest Finance Association Annual Meeting (MFA), Eastern Finance Association Annual Meeting	
	2024	Nanyang Technological University, George Washington University, San Diego State University, Wabash River Conference, University of Massachusetts Lowell, Eastern Finance Association Annual Meeting, 5 th World Conference on Business, Management, Finance, Economics and Marketing	
	2023	Toulouse School of Economics, Financial Management Association Annual Meeting (FMA)	
	2022	5 th Bank of Canada FSRC Macro-Finance Conference, Toulouse Business School	
	2021	University of Dauphine	
	2020	Midwest Finance Association Annual Meeting (MFA), Financial Management Association Annual Meeting (FMA)	
	2019	University of Connecticut	
	2018	Financial Management Association Annual Meeting, University of Connecticut, Chinese University of Hong Kong	
	(Includes coauthor presentations)		
DISCUSSANT	Eastern Finance Association Annual Meeting		2024-2025
INVITED SPEAKER	5 th	World Conference on Business, Management, Finance, Economics and Marketing	2024
PROFESSIONAL SERVICE	Referee		
	- Quarterly Journal of Finance, Journal of Pension Economics and Finance, National Science Foundation		
	Conference Program Committee		
	- University of Connecticut Finance Conference		2021-2025
	Conference Session Chair		
	- University of Connecticut Finance Conference		2023-2025
	- Eastern Finance Association Annual Meeting		2024-2025
	Conference Organizing Committee		
	- The 8 th –10 th Vietnam International Conference in Finance		2024-2026
	Profession		
UNIVERSITY AND DEPARTMENT SERVICE	- Vice President - Vietnam Finance Association International (VFAI)		2023-present
	Advising		
	- UConn team participating in statewide CFA Research Challenge		2018-2025
	- Undergraduate Student Advising		2019-2025
	College service		
	- CFA University Affiliation Program		2022-2025

AWARDS	Semifinalist for the Best Paper Award in Financial Intermediation and Markets at FMA	2023
	Semifinalist for the Best Paper Award in Corporate Finance at FMA Annual Meeting	2018
	American Finance Association Travel Grant	2016
	Simon Business School Doctoral Fellowship	2012-2017
PROFESSIONAL EMPLOYMENT	Investment Analyst Intern, Beneficial Financial Group	2011
	Supervisor of Purchasing Department, Bonfiglioli Vietnam Ltd	2008
	Leader of Purchasing Department, Vietnam Suzuki Corporation	2006-2008
SKILLS	Python, R, Matlab, Stata, SAS.	